

# U.S. Dept. Education: Funding Opportunities Hispanic-Serving Institutions



**HACU 2011**

**San Antonio, TX**

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Institutional Service**

**Dr. Sonia Feigenbaum, Director,  
Hispanic-Serving Institutions Division**

**202-502-7681**



# AGENDA



- **Title V Overview/Review**

- Purpose(s) of Title V

- Programs:

- Part A: Hispanic-Serving Institutions (HSI)

- Part B: Promoting Postbaccalaureate Opportunities for Hispanic Americans

- New HSI Stem and Articulation Program*

- Eligibility

- Allowable Activities

- **FY 2011 UPDATE**

- Funding

- Continuation Awards

- **Questions and Answers**

# PURPOSES OF TITLE V



- To expand educational opportunities for, and improve the academic attainment of Hispanic students
- To expand and enhance the academic offerings, program quality, and institutional stability of the colleges and universities that educate the majority of Hispanic students

# Title V Overview



## Three Title V Programs:

1. Developing Hispanic-Serving Institutions (HSI) Program, Part A
2. Promoting Postbaccalaureate Opportunities for Hispanic Americans (PPOHA) Program, Part B (FY 2009)
3. HSI Stem and Articulation Program (*New* in FY 2010)  
-First competition was held in FY 2011

# Title V Funding FY 2010



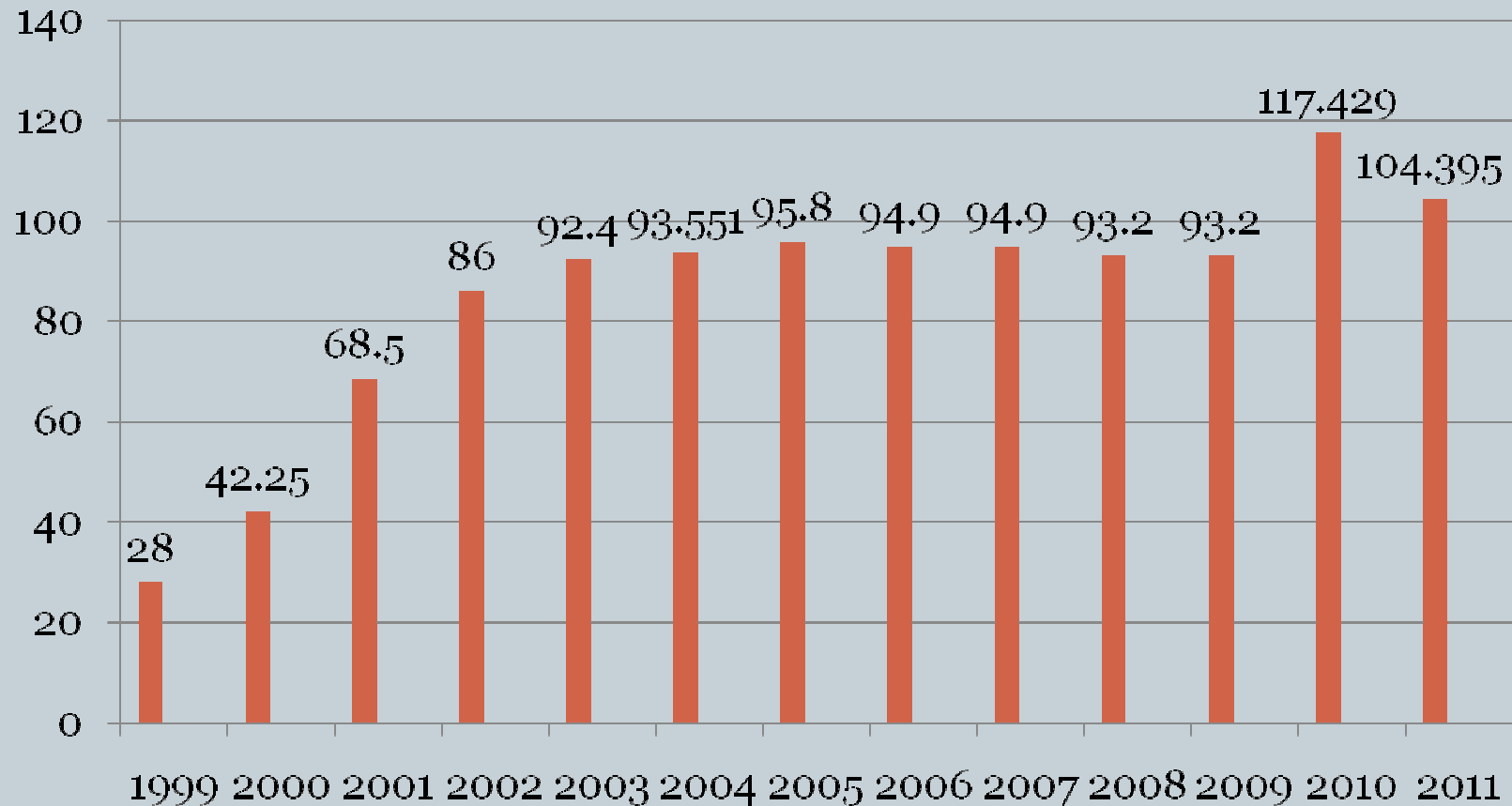
• Part A (HSI):	\$117,429,000
• Part B (PPOHA):	\$22,000,000
• HSI Stem & Articulation Program:	\$100,000,000
<b>Total Title V Funding (FY 2010):</b>	<b>\$239,429,000</b>

# Title V Funding: FY 2011



• Part A (HSI):	\$104,394,792
• Part B (PPOHA):	\$20,836,290
• HSI Stem & Articulation Program:	\$100,000,000
<b>Total Title V Funding (FY 2011):</b>	<b>\$225,231,082</b>

# Title V Funding (Part A) FY 1999-2011 (in Millions)



# DEVELOPMENT GRANTS



## **5-year development grants:**

- **Individual Development Awards:**

Maximum Award (HSI): \$650,000 per year

Maximum Award (PPOHA): \$575,000 per year

- **Cooperative Arrangement Development Awards:**

Maximum Award: \$775,000 per year (HSI)

# TWO COMPONENTS OF ELIGIBILITY



## **Request for Designation of Eligibility**

- Your institution must be certified as an eligible institution before your proposal will be reviewed and before you can receive federal grant funds
- You must apply every year you seek new funds

## **2) HSI Assurance**

- Due with Title V application
- Mandatory for Title V Program eligibility
- An enrollment of undergraduate full-time equivalent (FTE) students that is at least 25% Hispanic

The Department does not pre-certify institutions as HSIs

# WHO IS ELIGIBLE?



- An IHE that is accredited or pre-accredited by a nationally recognized accrediting agency or association; meet the enrollment of needy students and educational and general expenditures.
- A branch campus that is geographically apart from the main campus and independent of that main campus:
  - Permanent in nature;
  - Offers courses for credit and programs leading to an associate or bachelor's degree;
  - Has its own faculty and administrative or supervisory organization; and
  - Has its own budgetary and hiring authority

# FY 2011 “Basic” Eligibility



- Notice inviting applications for eligibility (FY 2011 Eligibility Notice) was published in the Federal Register on December 8, 2010, with a deadline date of January 31, 2011.
- *Basic eligibility application for FY 2012 is estimated to be published in December of 2011.*

# What Eligible IHE Can Apply?



## Individual development grant.

- An eligible IHE that does not currently have a Title III or Title V grant.
- An eligible IHE whose current Title III/V grant ends by 9/30/2012.

## Cooperative development grant.

- Any eligible HSI(s) in cooperation with one or more IHE.
- An IHE may be the lead in a cooperative development grant and also be a partner in a cooperative grant.

# Activities



- **Projects can have more than one activity**
  - activities do not have to relate to each other
  - each activity may have an activity director
- **Allowable and unallowable activities are listed in the regulations (34 CFR 606.10).**
  - In general, any activity that meets the purpose of the Title V Program
  - Only address in the CDP the problems you seek funding for

# Overview of Common Activities



- **Academic Quality**
  - Faculty development
  - Curriculum development
  - Improvement of basic skills courses
  - Acquisition of library materials and laboratory equipment
- **Student Services**
  - Counseling (peer, career, personal)
  - Tutoring and mentoring
  - Establishing learning communities
  - Improving student facilities and computer labs
- **Student Outcomes**
  - Improving student retention and graduation rates
  - Increasing academic achievement

# Overview of Common Activities-Con't



- **Fiscal Stability**
  - Establishing or improving a development office
  - Strengthening Alumni relationships and fundraising
  - Building an endowment
  - Increase research dollars
- **Institutional Management**
  - Creating and maintaining Management Information Systems
  - Training and developing staff other than teaching faculty
  - Construction and renovation
  - Improving the infrastructure for internet access

# What Title V Can Fund



- Purchase equipment for education or research
- Improve instruction facilities [construction, maintenance, renovation]
- Faculty and staff development
- Curriculum revision and development
- Strengthen telecommunications capacity
- Enhance student services
- Strengthen administrative and funds management systems
- Establish or improve a development office
- Establish or increase the institutional endowment fund

# Title V Cannot Fund



- Activities not mentioned in the original application
- Activities inconsistent with applicable State higher education plans
- Religious worship
- Non-degree or non-credit courses
- Operational costs
- Salaries for college-wide officials
- Costs of organized fundraisers
- Costs for student recruitment
- Costs of publications to promote the institution

# Electronic Field Review



- Applications are received electronically via Grants.gov
- Each application is screened for eligibility
- Reviewers are chosen from our Field Reader System
- Each reviewer receives training—electronically!
- Reviewers read applications at home and score and comment electronically
- Panel discussions are conducted via conference call
- If you are interested in becoming a reviewer for the Title V Program, visit: <http://opeweb.ed.gov/frs/frsHome.cfm> and enter your information.

# HOW TO APPLY



- **Search for grant opportunities**
  - The U.S. Department of Education posts all of its grant opportunities for discretionary grants on Grants.gov (<http://www.grants.gov>)
  - Click Find Grant Opportunities on the left side navigation menu
  - Use the Search functionality to find grant opportunities
- **Review Federal Register notices carefully**
  - Review application instructions
  - Determine deadline: Both Due Date and Time
  - Verify if electronic submission is mandatory or optional

# Applying with Grants.gov



- Step 1: Find Grant Opportunity
- Step 2: Browse by Agency
- Step 3: Select Grant Opportunity
- Step 4: Synopsis Page
- Step 5: Download Grant Package
- Step 6: Download Instructions and Application
- Step 7: Fill out Application Package
- Step 8: Sign and Submit
- Step 9: Confirmation Page

# TITLE V PART A



- **OVERVIEW**

- **FY 2011 ACTIVITIES**

# FY 2011 Title V-Part A (HSI) New Awards



- No open competition was held in FY 2011:
  - 13 new awards made from ‘funding down’ the FY 2010 HSI slate.
    - ✦ 4- awards in CA
    - ✦ 2- awards in AZ
    - ✦ 2- awards in NM
    - ✦ 2- awards in PR
    - ✦ 2-awards in TX
    - ✦ 1-award in MA

# FY 2011 HSI Non-Competing Continuation (NCC Awards)



- 160 NCC awards made for a total expenditure of \$96,625,081

## Part B (PPOHA)- FY 2011



- Total Appropriation: \$20,836,290
  - Total Available for new awards: \$0
- 43 NCC awards made

# HSI STEM & ARTICULATION PROGRAMS



- Health Care and Education Affordability Act of 2010
- Amends Title III, Part F, section 371 of the Higher Education Act of 1965, as Amended (HEA)
- Appropriates mandatory \$100 m for Hispanic Serving Institutions FY 2010-2019

# PURPOSE OF PROGRAM



- To assist Hispanic-Serving Institutions—
  - Develop and carry-out activities that improve and expand their capacity to serve Hispanic and other low-income students

## PURPOSE-CONTINUED



### **Priority given to applications that:**

- ❖ Increase the number of students attaining degrees in the fields of science, technology, engineering, or mathematics, and
- ❖ Develop model transfer and articulation agreements between 2-year HSIs and 4-year institutions in such fields

# FY 2011 Competition (using FY 2010 Funds)



- **5 year grants**
- **Funds available: \$99,654,674**
- **Individual and Cooperative grants**
- **Individual grant maximum: \$870,000**
- **Cooperative grant maximum: \$1.2m**

# FY 2011 Competition-Continued



**Number of awards: 109**

- **Individual Development Grants: 78**
- **Cooperative Arrangement Development Grants: 31**

# PROGRAM PRIORITIES



**BOTH OF THE FOLLOWING  
TWO PRIORITIES HAD TO BE  
MET:**

# ABSOLUTE PRIORITY 1



- Increase the number of Hispanic and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics (STEM)

## ABSOLUTE PRIORITY 2



- Develop model transfer & articulation agreements between two-year HSIs and four-year institutions in such fields [STEM]

# COMPETITIVE PREFERENCE PRIORITY



- 5 EXTRA POINTS FOR AN APPLICATION THAT MET THIS PRIORITY:
- **Enabling More Data-Base Decision Making**

# Enabling More Data-Based Decision Making



**Projects designed to—  
collect, analyze, and use high-  
quality and timely data in the  
following priority areas:  
Improving postsecondary student  
outcomes related to enrollment,  
persistence, and completion and  
leading to career success.**

# Contact Information



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